

Electronic Acknowledgement Receipt

EFS ID:	9120562
Application Number:	10092005
International Application Number:	
Confirmation Number:	7579
Title of Invention:	System and method for providing liquidity
First Named Inventor/Applicant Name:	Gordon P. Getty
Customer Number:	08791
Filer:	Ryan Elliott
Filer Authorized By:	
Attorney Docket Number:	8102P001
Receipt Date:	27-DEC-2010
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RAM confirmation Number	3942
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	8102P001_sb0030e_fill_RCE_filed_12-27-10.pdf	697923 f78de9ead50604da5d813c823c4926c3c1077a0d9	no	3
Warnings:					
Information:					
2	Miscellaneous Incoming Letter	8102P001_EOT_filed_12-27-10.pdf	13248 4fcd0c3a3a3c4b676cd0a6b148b1909150d483	no	1
Warnings:					
Information:					
3		8102P001_RESPONSE_filed_12-27-10.pdf	92183 ea1176c9f8be0f511ae97c51bf74298b3c0d9c3	yes	21
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment Submitted/Entered with Filing of CPA/RCE		1	1	
	Specification		2	2	
	Claims		3	10	
	Amendment/Req. Reconsideration-After Non-Final Reject		11	21	
	Warnings:				
Information:					
4	Oath or Declaration filed	8102P001_Declaration_under1132_filed_12-27-10.pdf	650804 60c4b302407f813953c3c1717a7f2ff6123bf	no	13
Warnings:					
Information:					
5	Examination support document	Exhibit_A_A_Survey_of_the_Evidence_on_the_CoSt_of_Mutual_Fund_Flows.pdf	109266 268f73c3c4ac3168015d4a6a52bc54d8e6b	no	5
Warnings:					
Information:					
6	Examination support document	Exhibit_B_Marketing_the_Benefits_of_ReFlow_to_Investors.pdf	67013 9c3db63779cd407852bf7590a41c1f5f0f608	no	3

Warnings:					
Information:					
7	Examination support document	Exhibit_C_American_independence_Funds_Adopt_ReFlow_Liquidity_Service.pdf	73617 f19007eb4b236764c3f8c0d65319ae027d8d4	no	3
Warnings:					
Information:					
8	Examination support document	Exhibit_D_No-Action_Letter.pdf	290690 6b05ba3138d02d135c080654494536c78b53c8d4	no	30
Warnings:					
Information:					
9	Examination support document	Exhibit_E_Getty_to_play_rich_uncle_for_a_price_wwwinvestimentnews.pdf	716450 202480ec1114015618d991404c16a0bf03c01b911	no	10
Warnings:					
Information:					
10	Examination support document	Exhibit_F_Getty_says_his_off-the-wall_fund_idea_will_work.pdf	53397 a3823c4763f8178d9c5d8bad950336c4b05930e	no	3
Warnings:					
Information:					
11	Examination support document	Exhibit_G_Gettys_ReFlow_at_take-off_point.pdf	51096 52652c579c3b4c892ba676a1135b14018d98bdf	no	3
Warnings:					
Information:					
12	Examination support document	Exhibit_H_Redemptions_Force_More_Funds_to_Liquidate.pdf	391459 161d0154c0de91c1ce53803664a1c8c564cb24	no	4
Warnings:					
Information:					
13	Fee Worksheet (PTO-875)	fee-info.pdf	31799 2ee1541450f9a2d26d402198b18d877ec4b47	no	2
Warnings:					
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Total Files Size (in bytes):			3238945		

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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